



**Professors**

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**Learning Outcomes**

Upon completion of this course students will be able to

- develop a strong understanding of the financial planning process, including the impact of various forms of business structures, including trusts.
- explain and apply complex tax rules as they relate to the financial planning process.
- understand the economic environment as it pertains to investments, be familiar with concepts of risk and return and understand the principles of modern portfolio theory.
- develop a good working knowledge of investment products including money market, fixed income and equity securities and understand tax issues associated with various investment products.
- be familiar with the investment planning process including active and passive investment strategies, diversification, leverage and investment returns.

**Course Objectives**

This course will cover the following content:

\*See weekly course schedule.

**Evaluation Procedure**

Term Work (Quizzes & Assignments)	20%
Mid-term Exam	30%
Final Exam	50%
Total	100%

**Notes**

A financial calculator is mandatory for courses in the Financial Services option. Students are

## Course Schedule

2022 Week of			
		Classes Start: Wednesday, September 7 STAT Friday, September 30 STAT Monday, October 10 STAT Friday, November 11 Last day of regularly scheduled Classes: Tuesday, December 6	
Sept	8	Introduction / Income Tax Administration	Mod. 9
	16	Income Tax Administration Taxable Benefits	Mod. 9
	23	Taxable Benefits	Mod. 9
	30	Capital Gains Capital Gains – Special Cases	Mod. 9
Oct	6	Capital Dividend Account / Alternative Minimum Tax Attribution Rules	Mod. 9
	13	Attribution Rules Other Tax Rules	Mod. 9
	<b>20</b>	<b>MID-TERM EXAM</b>	<b>EXAM</b>
	27	Measuring Returns Managing Risk	Mod. 8
Nov	3	Fixed-Income Securities Bonds & Debentures	Mod. 8
	10	Common Shares Preferred Shares	Mod. 8
	17	Derivative Securities	Mod. 8
	24	Mutual Funds	Mod. 8
Dec	1	Segregated Funds Exchange Traded Funds	Mod. 8
<b>Dec</b>	<b>8 - 19</b>	<b>FINAL EXAM PERIOD</b>	<b>EXAM</b>

## **SKILLS ACROSS THE BUSINESS CURRICULUM**

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The Okanagan School of Business promotes core skills across the curriculum. These skills include reading, written and oral communications, computers, small business, and academic standards of ethics, honesty and integrity.

## **STUDENT CONDUCT AND ACADEMIC HONESTY**

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### **What is the Disruption of Instructional Activities?**

At Okanagan College (OC), disruption of instructional activities includes student “conduct which interferes with examinations, lectures, seminars, tutorials, group meetings, other related activities, and with students using the study facilities of OC”, as well as conduct that leads to property damage, assault, discrimination, harassment and fraud. Penalties for disruption of instructional activities include a range of sanctions from a warning and/or a failing grade on an assignment, examination or course to suspension from OC.

### **What is Cheating?**

“Cheating includes but is not limited to dishonest or attempted dishonest conduct during tests or examinations in which the use is made of books, notes, diagrams or other aids excluding those authorized by the examiner. It includes communicating with others for the purpose of obtaining information, copying from the work of others and purposely exposing or conveying information to other students who are taking the test or examination.”

Students must submit independently written work. Students may not write joint or collaborative assignments with other students unless the instructor approves it in advance as a group/team project. Students who share their work with other students are equally involved in cheating.

### **What is Plagiarism?**

Plagiarism is defined as “the presentation of another person’s work or ideas without proper or complete acknowledgement.” It is the serious academic offence of reproducing someone else’s work, including words, ideas and media, without permission for course credit towards a certificate, diploma, degree and/or professional designation. The defining characteristic is that the work is not yours.

“Intentional plagiarism is the deliberate presentation of another’s work or ideas as one’s own.” Intentional plagiarism can be a copy of material from a journal article, a book chapter, data from the Internet, another student, work submitted for credit in another course or from other sources.

“Unintentional plagiarism is the inadvertent presentation of another’s work or ideas without proper acknowledgement because of poor or inadequate practices. Unintentional plagiarism is a failure of scholarship; intentional plagiarism is an act of deceit.”

### **What are the Students’ Responsibilities to Avoid Plagiarism?**

Students have a responsibility to read the OC Plagiarism Policy and Procedures outlined in the OC calendar, which is available in online format [www.okanagan.bc.ca](http://www.okanagan.bc.ca). Students must acknowledge the sources of information used on all their assignments. This usually involves putting the authors’ name and the year of publication in parentheses after the sentence in which you used the material, then at the end of your paper